

NatWest Markets Securities Inc.
Summary Schedule of Selected Capital Information
Pursuant to CFTC Regulation 1.55(o)(1)(iv)

<u>Month Ended</u>	<u>Tentative Net Capital</u>	<u>Net Capital</u>	<u>Excess Net Capital</u>
November 30, 2022	<u>\$584,292,089</u>	<u>\$564,701,152</u>	<u>\$563,609,680</u>
October 31, 2022	<u>\$578,450,018</u>	<u>\$559,111,668</u>	<u>\$558,033,398</u>
September 30, 2022	<u>\$570,849,032</u>	<u>\$553,087,456</u>	<u>\$548,703,624</u>
August 31, 2022	<u>\$599,858,169</u>	<u>\$570,344,370</u>	<u>\$568,557,120</u>
July 31, 2022	<u>\$587,965,573</u>	<u>\$576,820,665</u>	<u>\$574,352,633</u>
June 30, 2022	<u>\$574,817,724</u>	<u>\$560,381,754</u>	<u>\$559,111,769</u>
May 31, 2022	<u>\$609,442,136</u>	<u>\$536,329,041</u>	<u>\$534,560,837</u>
April 30, 2022	<u>\$588,773,209</u>	<u>\$558,243,121</u>	<u>\$555,590,465</u>
March 31, 2022	<u>\$615,163,922</u>	<u>\$585,815,094</u>	<u>\$584,098,316</u>
February 28, 2022	<u>\$641,255,959</u>	<u>\$587,347,763</u>	<u>\$586,311,642</u>
January 31, 2022	<u>\$651,333,236</u>	<u>\$625,872,077</u>	<u>\$617,957,212</u>
December 31, 2021	<u>\$651,212,639</u>	<u>\$643,777,201</u>	<u>\$642,370,105</u>