

NatWest Markets Securities Inc.
Summary Schedule of Selected Capital Information
Pursuant to CFTC Regulation 1.55(o)(1)(iv)

<u>Month Ended</u>	<u>Tentative Net Capital</u>	<u>Net Capital</u>	<u>Excess Net Capital</u>
April 28, 2023	<u>\$565,952,312</u>	<u>\$525,508,132</u>	<u>\$523,439,724</u>
March 31, 2023	<u>\$564,896,059</u>	<u>\$538,165,080</u>	<u>\$537,020,261</u>
February 28, 2023	<u>\$545,300,964</u>	<u>\$524,298,807</u>	<u>\$521,792,610</u>
January 31, 2023	<u>\$589,955,695</u>	<u>\$571,141,719</u>	<u>\$570,036,596</u>
December 31, 2022	<u>\$581,753,558</u>	<u>\$524,776,091</u>	<u>\$523,692,093</u>
November 30, 2022	<u>\$584,292,089</u>	<u>\$564,701,152</u>	<u>\$563,609,680</u>
October 31, 2022	<u>\$578,450,018</u>	<u>\$559,111,668</u>	<u>\$558,033,398</u>
September 30, 2022	<u>\$570,849,032</u>	<u>\$553,087,456</u>	<u>\$548,703,624</u>
August 31, 2022	<u>\$599,858,169</u>	<u>\$570,344,370</u>	<u>\$568,557,120</u>
July 31, 2022	<u>\$587,965,573</u>	<u>\$576,820,665</u>	<u>\$574,352,633</u>
June 30, 2022	<u>\$574,817,724</u>	<u>\$560,381,754</u>	<u>\$559,111,769</u>
May 31, 2022	<u>\$609,442,136</u>	<u>\$536,329,041</u>	<u>\$534,560,837</u>