

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:	NATWEST MARKETS SECURITIES INC.	as of: 10/31/2021
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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS

1.	Net ledger balance				
	A. Cash			\$ 0	7010
	B. Securities (at market)			0	7020
2.	Net unrealized profit (loss) in open futures contracts traded on a contract market			0	7030
3.	Exchange traded options				
	A. Add: Market value of open option contracts purchased on a contract market			0	7032
	B. Deduct Market value of open option contracts granted (sold) on a contract market			0	7033
4.	Net equity (deficit) (total of Lines 1, 2 and 3)			0	7040
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	0	7045		
	Less: amount offset by customer owned securities	0	7047	0	7050
6.	Amount required to be segregated (add Lines 4 and 5)			\$ 0	7060

FUNDS IN SEGREGATED ACCOUNTS

7.	Deposited in segregated funds bank accounts				
	A. Cash			7,500,109	7070
	B. Securities representing investments of customers' funds (at market)			0	7080
	C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7090
8.	Margin on deposit with derivatives clearing organizations of contract markets				
	A. Cash			0	7100
	B. Securities representing investments of customers' funds (at market)			0	7110
	C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7120
9.	Net settlement from (to) derivatives clearing organizations of contract markets			0	7130
10.	Exchange traded options				
	A. Value of open long option contracts			0	7132
	B. Value of open short option contracts			0	7133
11.	Net equities with other FCMs				
	A. Net liquidating equity			0	7140
	B. Securities representing investments of customers' funds (at market)			0	7160
	C. Securities held for particular customers or option customers in lieu of cash (at market)			0	7170
12.	Segregated funds on hand (describe:)			0	7150
13.	Total amount in segregation (add Lines 7 through 12)			7,500,109	7180
14.	Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)			\$ 7,500,109	7190
15.	Management target amount for excess funds in segregation			5,000,000	7194
16.	Excess (deficiency) funds in segregation over (under) management target amount excess			2,500,109	7198

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**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 0	8500
B. Securities (at market)		0	8510
2. Net unrealized profit (loss) in open cleared swaps		0	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		0	8540
4. Net equity (deficit) (add lines 1, 2 and 3)		0	8550
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	\$ 0	8560	
Less: amount offset by customer owned securities	0	8570	0 8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			0 8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 0	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		0	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8650
9. Net settlement from (to) derivatives clearing organizations		0	8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		0	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe:)		0	8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)		0	8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 0	8730
15. Management target Amount for Excess funds in cleared swaps segregated accounts		\$ 0	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess		\$ 0	8770

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**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1.	Amount required to be segregated in accordance with 17 CFR 32.6		\$ 0	7200
2.	Funds/property in segregated accounts			
	A. Cash	\$ 0	7210	
	B. Securities (at market value)	0	7220	
	C. Total funds/property in segregated accounts		0	7230
3.	Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)		\$ 0	7240

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

Amount required to be set aside pursuant to law, rule or regulation
of a foreign government or a rule of a self-regulatory organization
authorized thereunder

			\$ 0	7305
1.	Net ledger balance - Foreign futures and foreign options trading - All customers			
	A. Cash		\$ 0	7315
	B. Securities (at market)		\$ 0	7317
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade		\$ 0	7325
3.	Exchange traded options			
	A. Market value of open option contracts purchased on a foreign board of trade		\$ 0	7335
	B. Market value of open option contracts granted (sold) on a foreign board of trade		\$ 0	7337
4.	Net equity (deficit) (add Lines 1, 2, and 3)		\$ 0	7345
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount	\$ 0	7351	
	Less: Amount offset by customer owned securities	\$ 0	7352	\$ 0
6.	Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)		\$ 0	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.		\$ 0	7360

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**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States

\$ 0 7500

B. Other banks qualified under 17 CFR. 30.7

Name(s): 7510

0 7520 \$ 0 7530

2. Securities

A. In safekeeping with banks located in the United States

\$ 0 7540

B. In safekeeping with other banks designated by 17 CFR. 30.7

Name(s): 7550

0 7560 0 7570

3. Equities with registered futures commission merchants

A. Cash

\$ 0 7580

B. Securities

0 7590

C. Unrealized gain (loss) on open futures contracts

0 7600

D. Value of long option contracts

0 7610

E. Value of short option contracts

0 7615 0 7620

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): 7630

A. Cash

\$ 0 7640

B. Securities

0 7650

C. Amount due to (from) clearing organization - daily variation

0 7660

D. Value of long option contracts

0 7670

E. Value of short option contracts

0 7675 0 7680

5. Amounts held by members of foreign boards of trade

Name(s): 7690

A. Cash

\$ 0 7700

B. Securities

0 7710

C. Unrealized gain (loss) on open futures contracts

0 7720

D. Value of long option contracts

0 7730

E. Value of short option contracts

0 7735 0 7740

6. Amounts with other depositories designated by a foreign board of trade

Name(s): 7750

0 7760

7. Segregated funds on hand (describe):

0 7765

8. Total funds in separate 17 CFR 30.7 accounts

\$ 0 7770

9. Excess (deficiency) set aside funds for secured amount

(Line Item 7770 minus Line Item 7360)

0 7380

10. Management target amount for excess funds in separate 17 CFR 30.7 accounts

0 7780

11. Excess (deficiency) funds in separate 17 CFR 30.7 accounts
over (under) management target excess

0 7785